

LA FOLLETTE GODFREY & KAHN
ATTORNEYS AT LAW
P.O. BOX 2719
MADISON, WI 53701-2719
www.gklaw.com

RECEIVED
CENTRAL FAX CENTER

NOV 08 2004

PHONE: 608-284-2613

FAX: 608-257-0609

FAX COVER SHEET

DATE: November 8, 2004

PAGES (INCLUDING COVER): 56

TO: Commissioner for Patents FAX: 703-872-9306

FROM: Sonali S. Srivastava
Reg. No. 52,248

Please see attached Transmittal Form for the following Patent:

Applicant: Brian ARNOTT
Application No.: 10/709,172
For: METHOD AND APPARATUS FOR REMOVING OIL SPILLS
Filed: April 19, 2004
Group Art Unit: 1723
Examiner: Unknown
Attorney Docket No.: 060494-0001

BEST AVAILABLE COPY

**IF YOU HAVE A PROBLEM RECEIVING THIS TRANSMISSION, PLEASE CALL US
AS SOON AS POSSIBLE AT 608-257-3911.**

**THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE
PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE.** This message
may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is
not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that
you have received this document in error, and that any review, dissemination, distribution or copying of this message is
strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and
return the original message to us by mail. Thank you.

LaFollette Godfrey & Kahn is an office of Godfrey & Kahn, S.C.

RECEIVED
CENTRAL FAX CENTER

002/056

NOV 08 2004

PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

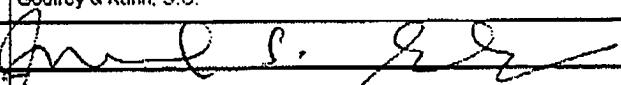
TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/709,172
Filing Date	April 19, 2004
First Named Inventor	Brian Amit
Art Unit	1723
Examiner Name	Unknown
Attorney Docket Number	060494-0001

55

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Alter Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Godfrey & Kahn, S.C.	
Signature		
Printed name	Sonali S. Srivastava	
Date	11/8/04	Reg. No. 52,249

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Ludanne M. Connor

Date 11/8/04

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO-3D/01A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 12

Complete If Known

Application Number	10/709,172
Filing Date	04/19/2004
First Named Inventor	Brian ARNOTT
Art Unit	1723
Examiner Name	Unknown
Attorney Docket Number	060494-0001

		U. S. PATENT DOCUMENTS		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials*	Cite No.	Document Number	Publication Date MM DD YYYY		
		Number-Kind Code* (if known)			
		US- 05300219	04-05-1994	BRAID Thomas C.	
		US- 05160629	11-03-1992	BROWN, Adria	
		US- 03667608	06-06-1972	BURROUGHS, R. H.	
		US 05423340	06-13-1995	CAMPBELL, Willis R.	
		US 03628607	12-21-1971	DIETZ, D. N.	
		US 05244574	09-14-1993	GATT, Shimon	
		US 05451325	09-19-1995	HERKENBERG, Wolf	
		US 05409607	04-25-1995	KARLBERG, Douglas F.	
		US- 05558777	09-24-1996	KEMNETZ, Steven	
		US- 06121038	09-19-2000	KIRSCHNER, Leon	
		US- 4222868	09-16-1980	KURIS, Arthur	
		US-			

		FOREIGN PATENT DOCUMENTS		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T*
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY			
		Country Code* Number* Kind Code* (if known)				
		EP 00370349-B1	1991-12-04	AUGUSTIN, Thoma	Abstract	
		EP 00507784-B1	1994-10-19	HERKENBERG Wol	Abstract	
		NO 148,862-B	1983-09-19	DALE, P., et.al	Abstract	
		NO 145,728-B	1982-02-08	HOSOEY, Oe.	Abstract	
		DE 2,947,281-A	1981-05-27	PAUL F. W.	Abstract	
		DE 4109577-A1	1992-09-24	WEINEM H.	Abstract	

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 001.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be had (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-767-9199) and select option 2.

PTO/SB/088 (08-03)

Approved for use through 07/31/2006. GMD 0651-GG31
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
**Use of information contained in this form is subject to GMD control number

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for Form 1449/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/709,172
				Filing Date	04/19/2004
				First Named Inventor	Brian Arnott
				Art Unit	1723
				Examiner Name	Unknown
Sheet	2	of	2	Attorney Docket Number	060494-0001

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 1059. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (reprint) 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

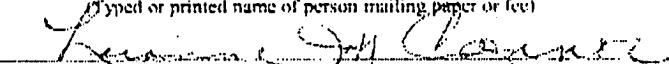
060494-0001

NOV 08 2004

Application No. 10/709,172

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian ARNOTT
 Application No.: 10/709,172
 For: METHOD AND APPARATUS FOR REMOVING OIL SPILLS
 Filed: April 19, 2004
 Group Art Unit: 1723
 Examiner: Unknown
 Attorney Docket No.: 060494-0001

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	CERTIFICATE OF MAILING UNDER 37 CFR 1.8 I hereby certify that this correspondence is being facsimile transmitted or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 11/8/04. Luanne M. Connor <small>Typed or printed name of person mailing paper or fee</small>  <small>(Signature of person mailing paper or fee)</small>
------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, the Applicant submits the references listed below for consideration.

U. S. Patent or Published Application References:

Patent No.	Issue Date	Applicant
US 05300219	1994-04-04	Braid, Thomas C.
US 05160629	1992-11-03	Brown, Adria
US 03667608	1972-06-06	Burroughs, R.H.
US 05423340	1995-06-13	Campbell, Willis R.
US 03628607	1971-12-21	Dietz, D.N.
US 05244574	1993-09-14	Gatt, Shimon
US 05451325	1995-09-19	Herkenberg, Wolf
US 05409607	1995-04-25	Karlberg, Douglas F.
US 05558777	1996-09-24	Kemnetz, Steven
US 06121038	2000-09-19	Kirschner, Leon
US 04222868	1980-09-16	Kuris, Arthur

060494-0001

Application No. 10/709,172

Foreign Patent or Published Application References:

<u>Published Application</u>	<u>Publication Date</u>	<u>Applicant</u>
EP 00370349-B1	1991-12-04	Augustin, Thomas
EP 00507784-B1	1994-10-19	Herkenberg, Wolf
NO 148,862-B	1983-09-19	Dale, P. et.al.
NO 145,728-B	1982-02-08	Hosoe, Oe.
DE 2,947,281-A	1981-05-27	Paul, F.W.
DE 4109577-A1	1992-09-24	Weinem, H.

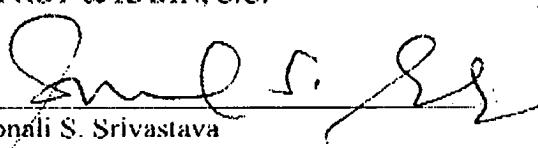
Non-Patent References:

NERAC.COM - Removal of Oil Spills - Retro Search Reports.

Respectfully Submitted,

GODFREY & KAHN, S.C.

By:


 Sonali S. Srivastava
 Registration No. 52,248

Attorney of Record for the Applicant
 GODFREY & KAHN, S.C.
 780 North Water Street
 Milwaukee, WI 53202
 (414) 273-3500

MN222076_1.DOC

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.